

# Radiology Document Delivery Implementation Guide

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# **Document History**

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# **Acronyms and Abbreviations Guide**

ACRS®	Active Care Relationship		
	Service®		
ACK	HL7 Acknowledgement		
	Message		
<b>CCD</b> <sup>®</sup>	Continuity of Care		
	Document®		
CDA®	Clinical Document		
	Architecture®		
C-CDA	Consolidated Clinical		
	Document Architecture		
DSA	Data Sharing		
	Agreement		
DQA	Data Quality Assurance		
DSM	Direct Secure Messaging		
EHR	Electronic Health		
	Record		
HD	Health Directory		
	Health Information		
1111	Network		
ніраа	Health Insurance		
IIIFAA	Portability and		
	Accountability Act		
UI 7®	Hoalth Lovel Soven®		
LIS			
MDUUC	System Michigan Donartmont		
мрниз	of Health and Human		
	Services		
MDCC	Mishing Disease		
MD22	Michigan Disease		
MCID	Surveillance System		
MUK	Michigan Care		
	Improvement Registry		
MIHIN	Michigan Health		
	Shared Services		
MSH	Message Header		
	segment within HL7		
MUCA	Master Use Case		
	Agreement		

NACK	Negative		
	Acknowledgement		
NwHIN	Nationwide Health		
	Information Network		
РО	Participating		
	Organization		
PI	Promoting		
	Interoperability		
TDSO	Trusted Data Sharing		
	Organization		
UCA	Use Case Agreement		
UCE	Use Case Exhibit		
UCIG	Use Case		
	Implementation Guide		
UCS	Use CaseSummary		
VPN	Virtual Private Network		
XCA	Cross Community		
	Access		
XML	Extensible Markup		
	Language		



# Definitions

- **Acknowledgement (ACK).** In data networking, telecommunications, and computer buses, an acknowledgement is a signal that is passed between communicating processes, computers, or devices to signify acknowledgement, or receipt of message, as part of a communications protocol.
- Attribution. The connection between a consumer and their healthcare providers. One definition of attribution is "assigning a provider or providers, who will be held accountable for a member based on an analysis of that member's claim data." The attributed provider is deemed responsible for the patient's cost and quality of care, regardless of which providers actually deliver the service.
- Active Care Relationship (ACR). (a) Forhealth providers, a patient who has been seen by a provider within the past 24 months, or is considered part of the health provider's active patient population they are responsible for managing, unless notice of termination of that treatment relationship has been provided to Michigan Health Information Network Shared Services (MiHIN); (b) for payers, an eligible member of a health plan; (c) an active relationship between a patient and a health provider for the purpose of treatment, payment and/or healthcare operations consistent with the requirements set forth in Health Insurance Portability and Accountability Act (HIPAA); (d) a relationship with a health provider asserted by a consumer and approved by the health provider; or (e) any person or Trusted Data Sharing Organization authorized to receivemessage content under that exhibit. ACR records are stored by MiHIN in the Active Care Relationship Service<sup>®</sup> (ACRS<sup>®</sup>).
- Active Care Relationship Service<sup>®</sup> (ACRS<sup>®</sup>). The Michigan Health Information Network Shared Services infrastructure service that contains records for those Trusted Data Sharing Organizations, their participating organizations participants or any health providers who have an active care relationship with a patient.
- **Applicable Laws and Standards**. In addition to the definition set forth in the Data Sharing Agreement, the federal Confidentiality of Alcohol and Drug Abuse Patient Records statute, section 543 of the Public Health Service Act, 42 U.S.C. 290dd-2, and its implementing regulation, 42 CFR Part 2; the Michigan Mental Health Code, at MCLA§§ 333.1748 and 333.1748a; and the Michigan Public Health Code, at MCL§ 333.5131, 5114a.
- **Data Sharing Agreement (DSA).** Any data sharing organization agreement signed by both Michigan Health Information Network Shared Services (MiHIN) and a participating organization. Data sharing organization agreements include but are not limited to: Qualified Data Sharing Organization Agreement, Virtual Qualified Data Sharing Organization Agreement, Consumer Qualified Data Sharing Agreement, Sponsored Shared Organization Agreement, State Sponsored Sharing Organization Agreement, Direct Data Sharing Organization agreement, Simple Data Sharing Organization Agreement, or other data sharing organization agreements developed by MiHIN.



- **Electronic Address.** A string that identifies the transport protocol and end point address for communicating electronically with a recipient. A recipient may be a person, organization or other entity that has designated the electronic address as the point at which it will receive electronic messages. Examples of an electronic address include a secure email address (Direct via secure Simple Mail Transfer Protocol (STMP)) or secureUniformResourceLocator(URL)(SOAP/XDR/REST/FHIR).Communication with an electronic address may require a digital certificate or participation in a trust bundle.
- **Electronic CQM (eCQM).** Clinical Quality Measures (CQM) that are specified in a standard electronic format and are designed to use data from Health information technology (IT) systems for measurement.
- **Electronic Medical Record or Electronic Health Record (EMR/EHR)**. A digital version of a patient's paper medical chart.
- **Electronic Service Information (ESI).** All information reasonably necessary to define an electronic destination's ability to receive and use a specific type of information (e.g., discharge summary, patient summary, laboratory report, query for patient/provider/healthcare data). ESI may include the type of information (e.g., patient summary or query), the destination's electronic address, the messaging framework supported (e.g., SMTP, HTTP/SOAP, XDR, REST, FHIR), security information supported or required (e.g., digital certificate) and specific payload definitions (e.g., CCD C32 V2.5). In addition, ESI may include labels that help identify the type of recipient (e.g., medical recordsdepartment).
- End Point. An instance of an electronic address or Electronic Service Information (ESI).

Exhibit. Collectively, a use case exhibit or a pilot activity exhibit.

- **Health Directory (HD)**. The statewide shared service established by Michigan Health Information Network Shared Services (MiHIN) that contains contact information on health providers, electronic addresses, end points, and Electronic Service Information (ESI), as a resource for authorized users to obtain contact information and to securely exchange health information.
- **Health Level Seven® (HL7®)**. An interface standard and specifications for clinical and administrative healthcare data developed by the Health Level Seven (HL7) organization and approved by the American National Standards Institute (ANSI). HL7 provides a method for disparate systems to communicate clinical and administrative information in a normalized format with acknowledgement of receipt
- **Health Information.** Any information, including genetic information, whether oral or recorded in any form or medium, that (a) is created or received by a health provider, public health authority, employer, life insurer, school or university, or healthcare clearinghouse; and (b) relates to the past, present, or future physical or mental health or condition of an individual; the provision of healthcare to an individual; or the past, present, or future payment for the provision of healthcare to an individual.



- **Health Information Network (HIN).** An organization or group of organizations responsible for coordinating the exchange of protected health information (PHI) in a region, state, ornationally.
- **Health Provider** means facilities/hospitals, health professionals, health plans, caregivers, pharmacists/other qualified professionals, or any other person or organization involved in providinghealthcare.
- **Health Insurance Portability and Accountability Act (HIPAA).** A federal law that required the creation of national standards to protect sensitive patient health information from being disclosed without the patient's consent or knowledge.
- **Information Source**. Any organization that provides information that is added to a Michigan Health Information Network Shared Services (MiHIN) infrastructure service.
- **Master Use Case Agreement (MUCA).** Legal document covering expected rules of engagement across all use cases. Trusted Data Sharing Organizations (TDSOs) sign master use case agreement one time, then sign use case exhibits for participation in specific use cases.
- **Message**. A mechanism for exchanging message content between the participating organization to Michigan Health Information Network Shared Services (MiHIN), including query and retrieve.
- **Message Content**. Information, as further defined in an Exhibit, which is sent, received, found or used by a participating organization to or from Michigan Health Information NetworkSharedServices (MiHIN). Message contentincludes the message content header.
- **Message Header ("MSH") or Message Content Header**. The Message Header (MSH) segment present in every Health Level Seven® (HL7®) message type that defines the Message's source, purpose, destination, and certain syntax specifics such as delimiters (separator characters) and character sets. It is always the first segment in the HL7 message, with the only exception being HL7 batch messages.
- **Michigan Care Improvement Registry (MCIR)**. The IIS for the State of Michigan operated by the Michigan Department of Health and Human Services (MDHHS).
- Michigan Health Information Network Shared Services (MiHIN). The health information network (HIN) for the state of Michigan.
- **MiHIN Infrastructure Service**. Certain services that are shared by numerous use cases. MiHIN infrastructure services include, but are not limited to, Active Care Relationship Service<sup>®</sup> (ACRS<sup>®</sup>), HealthDirectory (HD), StatewideConsumerDirectory (SCD), and the Medical Information Direct Gateway (MIDIGATE<sup>®</sup>).
- **MiHIN Services**. The Michigan Health Information Network Shared Services (MiHIN) infrastructure services and additional services and functionality provided by MiHIN allowing the participating organizations to send, receive, find, or use information to or from MiHIN as further set forth in an exhibit.



- **Negative Acknowledgment (NAK or NACK).** "Not acknowledged" and is used to negatively acknowledge or to reject previously received message content or to indicate some kind of error.
- **Notice**. A message transmission that is not message content and which may include an acknowledgementofreceiptorerrorresponse, such as an "acknowledged" ACK or "not acknowledged" NACK.
- **Patient Data**. Any data about a patient or a consumer that is electronically filed in a participating organization or participating organization participant's systems or repositories. The data may contain protected health information (PHI), personal credit information (PCI), and/or personally identifiable information (PII).
- **Provider Community**. A health care provider with an active care relationship with the applicable patient.
- **Person Record**. Any record in a Michigan Health Information Network Shared Services (MiHIN) infrastructure service that primarily relates to a person.
- **Principal.** A person or a system utilizing a federated identity through a federated organization.
- **Promoting Interoperability (PI).** Using certified EHR technology to improve quality, safety, and efficiency of healthcare, and to reduce health disparities.
- **ProviderCommunity**. Ahealthcareprovider with an active care relationship (ACR) with the applicable patient.
- **Query for Documents Message**. A message specific to the Query for Documents Web Services Interface Specification that references the Integrating the Healthcare Enterprise's Cross-Community Access specification.
- **Radiology Document Delivery**. Radiology Document Delivery supports provider workflow improvements by helping to send, find, receive, and use radiology results for tests and other services performed at the point of care.
- **Service Interruption**. A party is unable to send, receive or find message content for any reason, including the failure of network equipment or software, scheduled or unscheduled maintenance, general Internet outages, and events of force majeure.
- **Transactional Basis.** The transmission of message content or a notice within a period of time of receiving message content or notice from a sending or receiving party as may be further set forth in a specific exhibit.
- **Transitions of Care**. The movement of a patient from one setting of care (e.g., hospital, ambulatory primary care practice, ambulatory specialty care practice, long-term care, rehabilitation facility) to another setting of care and can include transfers within a healthcare organization.
- **Trusted Data Sharing Organization (TDSO)**. An organization that has signed any form of agreement with Michigan Health Information Network Shared Services for data sharing.



- **Use Case.** (a) A use case agreement previously executed by a participating organization; or (b) the use case summary, use case exhibit and a use case implementation guide that participating organization or Trusted Data Sharing Organization must follow to share specific message content with Michigan Health Information Network Shared Services.
- **Use Case Exhibit (UCE).** The legal agreement attached as an exhibit to the master use case agreement that governs participation in any specific use case.
- **Use Case Implementation Guide (UCIG)**. The document providing technical specifications related to message content and transport of message content between participating organization, Michigan Health Information Network Shared Services, and other Trusted Data Sharing Organizations. Use case implementation guides are made available via URLs in exhibits.
- **Use Case Summary (UCS)**. The document providing the executive summary, business justification and value proposition of a use case. Use case summaries are provided by Michigan Health Information Network Shared Services (MiHIN) upon request and via the MiHIN website at www.mihin.org.
- **Virtual Private Network (VPN).** A Virtual Private Network extends a private network across a public network and enables users to send and receive data across shared or public networks as if their computing devices were directly connected to the private network.

**XCA**.TheIHE(IntegratingHealthcareEnterprise)standardforCross-CommunityAccessto support the means to query and retrieve patient relevant healthcare data held by other communities.

**XML.** A metalanguage which allows users to define their own customized markup languages, especially in order to display documents on the Internet.



# 1. Introduction

## 1.1 Purpose of UseCase

The Radiology Document Delivery use case supports provider workflow improvements by helping to send, find, receive, and use radiology results for tests and other services performed at the point of care.

Radiology documents (also referred to as radiology results) are used across the healthcare continuum to assist providers and other healthcare professionals deliver quality patient care.Providers,ParticipatingOrganizations(POs),andotherhealthcareprofessionalshave a critical need to easily send and find radiology results to help with clinical decision support, trending analysis, population health management, medication management, and numerous other careactivities.

The coordination of radiology result delivery across organizations can be very challenging which may have a negative impact on patient care as well as healthcare costs. Radiology results must be presented in a timely manner and in a usable, actionable format so recipients can deliver efficient and effective patient care.

An electronic, statewide exchange of radiology results through an interconnected network of POs overseen by Michigan Health Information Network (MiHIN) helps improve the quality, efficiency, and lower the cost of healthcare.

The Radiology Document Delivery use case helps POs electronically send and receive radiology results via statewide health information network (HIN) overseen by MiHIN. Additionally, it facilitates a process to enable the viewing of the actual quality image from POs that have signed on to the Imaging use case.

The intended audience for this use case includes healthcare organizations and professionals that want to send and/or receive electronic radiology results to healthcare entities. These may include but are not limited to hospitals, radiology diagnostic centers, ambulatory clinics, health departments, physician offices, and medical practices.

## 1.2 Message Content

For this use case, message content should be in one of the following message format.

HL7 2.xORU^R01



## 1.3 Data Flow and Actors

Multiple actors can participate in this use case, each with a specific role in the process.

- Actor: Sending organization (hospital, diagnostic radiology center)
- **Role:** Completes radiology order and sends message containing result to HIN.
- Actor: HIN
- Role: Receives radiology result message from sending organization and routes the message to the receiving organization(s) as indicated by the Active Care Relationship Service<sup>®</sup> (ACRS<sup>®</sup>).
- Actor: Receiving organization
- **Role:** Receives radiology results routed from HIN.



- 1. The PO sends the radiology result to the HIN.
- 2. UsingtheACRS,CommonKeyService(CKS)andHealthDirectory(HD),theradiology result is matched to the patient's electronic health record and can simultaneously be sent to any other of the patient's care team members
- 3. Using provider information listed in the radiology message, the result can also be matched and delivered to organizations associated with the encounter

### 1.3.1 Additional Information

For more information about this use case, all documents related to this use case can be found at <a href="https://mihin.org/statewide-lab-orders-results/">https://mihin.org/statewide-lab-orders-results/</a>



# **2 Standard Overview**

## 2.1 Message Format

MiHIN supports HL72. x messaging standards. For sending radiology results, HL7v2.5.1 or newer version is preferred, however v2. x is allowable.

#### 2.1.1 HL7 2.x Radiology Document Message Example

Below is an HL7 2.x Radiology message example

MSH|^~\&|RAD|Middle Medical Center^15D0470381^CLIA||20190513003103| |ORU^R01|53397275|P|2.3 PID|1|525606|525606^^^SHCPI^MR||0'Hara^Scarlett||19400117|F||2106-3 |1 Cotton Drive^^Tara^MI^41940^US^^^||(999)888-7777^PRN^PH||EN |M ||999999999|||||||||||N||NON |161046329119^^^MHP\_FIN POOL^FIN NBR^CD:15409808|384028782|||2186-5^Not Hispanic or Latino|||0 PV1|1|I|ED^ED26^01^10^^^^99HMCDEPO|E|||4444^Howser^Dougie|||EMR|| 0190512234259|20190513234259||||| ORC|RE|68840347^EPC|19P062932^EPC|19P062932|F||^^20190513004020^201 90513004042^EDSTAT||20190513005953|^EDI^RAD^RESULTS IN||4444^Cox^Perry|10100900^^^10100^^^^HMC ED|(810)262-OBR|1|I68840347^EPC|I68840347^EPC|RAD9945^WRIST 3 VIEW RT [ED]20190513003200]20190513005839]]]]]]]4444^Cox^Perry](810)262-9429|||||20190513005839||RAD|F||^^^20190513004020^20190513004042^EDS TAT||||^Trauma|4444&Cox&Perry|||20190513003500|||||||RAD9945^WRIST **3** VIEW RT^99HMCIMGP^^WRIST 3 VIEW|RT^(used to identify procedures performed on the right side of NTE|||Reason for Study: Trauma OBX|1|ST|RADIOLOGY REPORT&GDT^RADIOLOGY REPORT^MMC|1|RMS ACCESSION NUMBER: 123456789|||||F|||MMC^MMC LAB^123 Anywhere Rd^^Town^MI^41234|||||||MMC^MMC LAB|123 Anywhere Rd^^Town^MI^41234 OBX|2|ST|RADIOLOGY REPORT&GDT^RADIOLOGY REPORT^MMC|1||||||F||||MMC^MMC LAB^123 Anywhere Rd^^Town^MI^41234|||||||MMC^MMC LAB|123 Anywhere Rd^^Town^MI^41234 OBX|3|ST|RADIOLOGY REPORT&GDT^RADIOLOGY REPORT^MMC|1|STUDY ORDERED: RAD9945|||||F|||MMC^MMC LAB^123 Anywhere Rd^^Town^MI^41234||||||MMC^MMC LAB|123 Anywhere Rd^^Town^MI^41234 OBX|4|ST|RADIOLOGY REPORT&GDT^RADIOLOGY REPORT^MMC|1||||||F||||MMC^MMC LAB^123 Anywhere Rd^^Town^MI^41234|||||||MMC^MMC LAB|123 Anywhere Rd^^Town^MI^41234 OBX|5|ST|RADIOLOGY REPORT&GDT^RADIOLOGY REPORT^MMC|1|CLINICAL INDICATION: Trauma. || || || F| || MMC^MMC LAB^123 Anywhere Rd^^Town^MI^41234|||||||MMC^MMC LAB|123 Anywhere Rd^^Town^MI^41234 OBX|6|ST|RADIOLOGY REPORT&GDT^RADIOLOGY

REPORT^MMC|1||||||F|||MMC^MMC LAB^123 Anywhere

Rd^^Town^MI^41234||||||MMC^MMC LAB|123 Anywhere Rd^^Town^MI^41234

## 2.2 Administrative and TechnicalRequirements

All participating organizations intending to send, receive, find or use electronic radiology results via MiHINmust:

- 1. Execute the MiHIN Master Use Case Agreement, and are required to onboard to the following use cases: Health Directory, Active Care Relationship Service, Common Key Service, and Results Delivery.
- 2. Utilize an EHR or Radiology Information System (RIS) that can report radiology results electronically. The preferred format for sending results to MIHIN is HL7 v2.5.1 or v2.x.
- 3. Establish electronic connectivity with MiHIN via a supported transport protocol.

The required legal agreements are available by contacting <u>legal@mihin.org</u>.

### 2.3 Configuration Steps

 $Radiology result messages routing to MiHIN require three independent process \ configurations:$ 

- 1. Create EHR message.
- 2. Construct HL7 messageformat.
- 3. Transport message.

This implementation guide assumes that the RIS or EHR message production process has already been implemented and contains instructions and specifications for construction and transport of the message.

### 2.4 Information for RadiologyDepartments

Radiology departments wishing to utilize MiHIN to route test results to their final destination need to set up and implement an outbound HL7 data feed from their RIS using HL7 v2.xORU format. Radiology departments should contact their RIS system vendor if this interface is not already implemented.

### 2.5 Information for Electronic Health Record System Users

Healthcare providers wishing to send electronic test results from their EHR through MiHIN should inquire with their EHR vendor whether this can be accomplished via HL7 messaging, and if not, what other options are supported.

### 2.6 Information for Health Information Exchanges

Health information exchanges will need to work with their integration vendor to ensure they can receive and send HL7 2.x ORU messages.

# **3 Onboarding Process**

## 3.1 Initial Onboarding

For organizations to share data via MiHIN under this use case, the organization will need to undergo two onboarding processes. The two onboarding processes are legal onboarding and technical connectivity onboarding.

To initiate these two parallel onboarding processes, notify MiHIN via <a href="http://mihin.org/requesthelp/">http://mihin.org/requesthelp/</a>.

#### 3.1.1 Initial Legal Process

The first time an organization undergoes the legal onboarding process with MiHIN, the organization negotiates and enters into a data sharing agreement which then allows the Participating Organization (PO) to enter into one or more use cases via Use Case Exhibits (UCEs) or Pilot Activity Exhibits (PAEs).

Once an organization has entered into a data sharing agreement, the organization must sign the Master Use Case Agreement (MUCA) which then allows the PO to enter an unlimited number of UCEs or PAEs with MiHIN. A listing MiHIN's use cases are available upon request.

### 3.1.2 Initial Technical ConnectivityProcess

MiHIN considers itself a "transport agnostic" and offers multiple options for organizations to establish technical connectivity to transport data to MiHIN. Organizations should select one or more connectivity methods for message transport based on their technical capabilities, and put in a service request at <a href="http://www.mihin.org/requesthelp">www.mihin.org/requesthelp</a>. Currently MiHIN accepts the following transport methods:

LLP over IPsec VPN – Lower-Layer Protocol over Internet Protocol Security Virtual Private Network

For VPN connectivity two VPNs are required. A primary VPN will facilitate regular traffic. A secondary will be established for fail-over purposes.

Additional transport methods may be added in the future. These can include NwHIN, XCA, REST/RESTFUL APIs, FHIR, and others.

The following steps describe the technical onboarding process. However, MiHIN typically conducts "onboarding kickoff" meetings with new organizations to go through each of these steps in detail and answer any questions.

- $1. \ \ The organization selects on e supported transport methods and establishes connectivity with MiHIN.$ 
  - a. **LLP over IPsec VPN** MiHIN's site-to-site VPN request form must be completed, sent and approved by MiHIN. Send a request via <u>www.mihin.org/requesthelp</u> to obtain the VPN request form. A pre-shared key is then exchanged between the



organization and MiHIN to initialize the connection. The LLP over IPsec VPN is the most efficient transport for very high volumes of messages.

### 3.1.3 Initial HL7 Test Script Generation

For continued participation in the Radiology Document Delivery use case, we require you send us a test script to use in validating downstream interfaces. This test script should include a wide variety of real-life scenarios. The HL7 messages should conform to the Specifications outlined in section 4 and can be sent via our established test connection or via a .hl7 or .txt file.

# **4 Specifications**

## 4.1 Communication Setup

This implementation guide assumes that a secure connection between MiHIN and an organization has been established. Organizations should confirm this with their network administrator.

### 4.2 Radiology Test Result HL7 Message Production

AnORU^R01 message formatted to HL7 v2.xis generated by a RIS or by an interface engine when new results are posted. Each HL7 message sent by MiHIN will conform to the standard HL7 2.x ORU definitions (HL7 v2.x).

## 4.3 Options for RadiologySystems

The RIS should have the functionality to generate the HL7 ORU^R01 message. Hospital RIS applications may already be sending result interface messages to a central hub or interface engine used at a hospital.

If this is the case, please discuss these implementation guidelines with your IT Department, and involve them in generating and testing the data feed to MiHIN from that hub or engine.

## 4.4 Message Segment/FieldDynamic Definition

The following guidelines describe the way in which segment and field requirements apply to correctly formatted messages.

### 4.4.1 Segment Usage Requirements for Sending Organization

Correctly for matted messages (ORU) will adhere to the following usage requirements for message segments:

- Segments with usage code R will always be sent.
- Segments with usage code C will be sent conditionally, based upon fulfillment of the condition contained in the "Comments" column.
- Segments with usage code RE will be sent if information corresponding to the segment definition exists on the sending system.
- Segments with usage code CE will be sent conditionally, based upon fulfillment of the condition contained in the "Comments" column, if information corresponding to the segment definition exists on the sending system.
- Segments with usage code X, or whose segment ID does not appear in the static definition corresponding to the trigger event of the message, will be ignored.



#### 4.4.2 Segment Cardinality Requirements for Sending Organization

Correctly formatted messages sent by organizations will adhere to the following cardinality requirements for messagesegments:

- No fewer occurrences of each segment will be sent than the number indicated by the minimum cardinality of the segment in the message-level static definition corresponding to the trigger event of the message.
- Occurrences of each segment exceeding the number indicated by the maximum cardinality of the segment in the message-level static definition corresponding to the trigger event of the message will be ignored.

#### 4.4.3 Field and Subfield Requirements for Sending Organization

An ORU^R01 message formatted to HL7 v2.xis generated by a RIS or by an interface engine when new results are posted. Each HL7 message sent by MiHIN will conform to the standard HL7 2.x ORU definitions (HL7 v2.x).

#### 4.4.3.1Field and Subfield Usage Requirements for Sending TDSO

Correctly formatted messages sent by organizations will adhere to the following usage requirements for message fields, components, and subcomponents:

- Fields and subfields with usage code R will always be sent.
- Fields and subfields with usage code C will be sent conditionally, based upon fulfillment of the condition contained in the "Comments" column.
- Fields and subfields with usage code RE will be sent if information corresponding to the field or subfield definition exists on the sending system.
- Fields and subfields with usage code CE will be sent conditionally, based upon fulfillment of the condition contained in the "Comments" column, if information corresponding to the field or subfield definition exists on the sending system.
- Fields and subfields with usage code X, or whose field or subfield sequence number does not appear in the static definition of the field or subfield, will be ignored.

#### 4.4.3.2 Field and Subfield Cardinality Requirements for Sending TDSO

Correctly formatted messages sent by organizations will adhere to the following cardinality requirements for message fields, components, and subcomponents:

- No fewer occurrences of each field or subfield will be sent than the number indicated by the minimum cardinality of the field in the static definition of the segment in which the field or subfield occurs.
- Occurrences of each field or subfield above the number indicated by the maximum cardinality of the field or subfield in the static definition of the segment in which the field or subfield occurs will be ignored.



### 4.4.4 Acknowledgement Message Requirements for Receiving TDSO

For each message received, a receiving organization will return an HL7 acknowledgment message formatted according to the requirements within this document.

An ERR segment will be returned for each usage and cardinality error recorded as a result of applying the rules in this section.

For a description on the format and structure of the HL72.x ORU Message, please visit HL7.org.

### 4.5 Addition of Z-Segments to ORU Messages for Receivers

MiHIN will add certain z-segments to RAD ORU messages before routing to receivers. The following z-segments will be added to the ORU message if they are available. If you have questions, please contact the MiHIN Help Desk at <u>https://mihin.org/requesthelp/.</u>

#### 4.5.1 Care Team & ACRS Information Z-Segment

For every match in the ACRS, the corresponding patient's care team information will be appended to the message, including information from the receiving organization's ACRS file. The z-segment will contain one field for each care team member (based on ACRS matches).

#### Format:

ZCT|Provider\_lastName^Provider\_firstName^Provider\_npi^practiceName^receiver\_organi zationOID^patientId~

#### 4.5.2 NPI Z-Segment

For every provider match in the ACRS against an ADT notification, the corresponding provider NPI will be appended to the receiver's ADT notification.

#### Format: ZNP|ACRSNPI|1234567890

#### 4.5.3 Organization OIDZ-Segment

For every organization match in the ACRS, the corresponding organization OID will be appended to the receiver's ADT notification.

Format: ZPO|ACRSORGOID|1.2.3.4.5.9.99.999.9999.1203

#### 4.5.4 Member IDZ-Segment

When a patient is matched with a receiver's ACRS file, the Unique Patient ID from the file will be appended to the message.

#### Format: ZPD|PATIENTID|12345678



# **5 Troubleshooting**

# 5.1 Production Support

	Severity						
	1	2 Le	avers 3	4			
Description	Critical Impact/System Down: Business critical software is down or critical interface has failed. The issue is impacting all production systems, causing all participating organizations' or other organizations' ability to function to be unusable.	Significant Business Impact: Software component severely restricted. Entire organization is unable to continue business functions, causing all communications and transferofmessagestobe halted.	Partial Failure or Downtime: Program is useable and less significant features unavailable. The service is online, though may not working as intended or may not currently working as intended or may not currently be accessible, though other systems are currently available.	Minimal Business: A non- critical software component is malfunctioning, causing minimal impact, or a test system is down.			
Example	Allmessagesto and from MiHIN are unable to be sent and received, let alone tracked	MiHIN cannot communicate (send or receive) messages between single or multiple participating organizations but can still successfully communicate with other organizations.	Messages are lost in transit; messages can be received but not sent.	Additional feature requested.			
Primary Initiation Method	<i>Phone:</i> 517-336-1430	<b>Phone:</b> 517-336-1430	Web form at https://mihin.org/reque st help/	Web form at https://mihin.org/reque st help/			
Secondary Initiation Method	Web form at https://mihin.org/re	Web form at https://mihin.org/request help/	Email to <u>help@mihin.org</u>	Email to <u>help@mihin.org</u>			
Tertiary Initiation Method	Email to <u>help@mihin.org</u>	Email to <u>help@mihin.org</u>	N/A	N/A			
Initial Response	Within 2 hours	Within 2 hours	1 business day	1 business day			
Resolution Goal	24 hours	24 hours	3 business days	7 business days			

A list of common questions regarding the Statewide Lab Orders-Results Use Case can be found at <u>https://mihin.org/statewide-lab-orders-results/.</u>

If you have questions, please contact the MiHIN Help Desk:

- <u>https://mihin.org/requesthelp/</u>
- Phone: 517-336-1430
- Monday Friday 8:00 AM 5:00 PM (Eastern Standard Time)



# **6 Legal Advisory Language**

This reminder applies to all UCEs or PAEs covering the exchange of electronic health information:

The data sharing agreement establishes the legal framework under which PO can exchange messages through the HIN Platform, and sets forth the following approved reasons for which messages may be exchanged:

- a. By health care providers for Treatment, Payment and/or Health Care Operations consistent with the requirements set forth in HIPAA;
- b. Public health activities and reporting as permitted by HIPAA and other Applicable Laws and Standards;
- c. To facilitate the implementation of "promoting interoperability" criteria as specified in the American Recovery and Reinvestment Act of 2009 and as permitted by HIPAA;
- d. Uses and disclosures pursuant to an Authorization provided by the individual who is the subject of the Message or such individual's personal representative in accordance with HIPAA;
- e. By Data Sharing Organizations for any and all purposes, including but not limited to pilot programs and testing, provided that such purposes are consistent with Applicable Laws and Standards; and
- f. For any additional purposes as specified in any UCE or PAE, provided that such purposes are consistent with Applicable Laws and Standards.

Under these agreements, "*Applicable Laws and Standards*" means all applicable federal, state, and local laws, statutes, acts, ordinances, rules, codes, standards, regulations and judicial or administrative decisions promulgated by any governmental agency, including the State of Michigan, or the Michigan Health Information Technology Commission as any of the foregoing may be amended, modified, codified, reenacted, promulgated or published, in whole or in part, and in effect from time to time which is enforceable against a Party. Without limiting the generality of the foregoing, "Applicable Laws and Standards" includes HIPAA "; the federal Confidentiality of Alcohol and Drug Abuse Patient Records statute, section 543 of the Public Health Service Act, 42 U.S.C. 290dd-2, and its implementing regulation, 42 CFR Part 2; the Michigan Mental Health Code, at MCLA §§ 333.1748 and 333.1748a; and the Michigan Public Health Code, at MCL § 333.5131, 5114a.

It is each PO's obligation and responsibility to ensure that it is aware of Applicable Laws and Standards as they pertain to the content of each message sent, and that its delivery of each message complies with the Applicable Laws and Standards. This means, for example, that if a UCE is directed to the exchange of physical health information that may be exchanged without patient authorization under HIPAA, the PO must not deliver any message containing health information for which an express patient authorization or consent is required (e.g., mental or behavioral health information).



**Disclaimer:** The information contained in this implementation guide was current as of the date of the latest revision in the Document History in this guide. However, Medicare and Medicaid policies are subject to change and do so frequently. HL7 versions and formatting are also subject to updates. Therefore, links to any source documents have been provided within this guide for reference. MiHIN will apply its best efforts to keep all information in this guide up-to-date. It is ultimately the responsibility of the Participating Organization and Sending Facilities to be knowledgeable of changes outside of MiHIN's control.



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